# JC03 Rec'd PCT/PTO 06 JUN 2005

PTO-1390 (Rev. 02-2005) Approved for use through 3/31/2007, OMB 0651-0021 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

## ATTORNEY DOCKET NO TRANSMITTAL LETTER TO THE UNITED STATES LYBZ 2 00093 DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 INTERNATIONAL FILING DATE INTERNATIONAL APPLICATION NO. PRIORITY DATE CLAIMED PCT/EP03/13916 03 DECEMBER 2003 **12 DECEMBER 2002** TITLE OF INVENTION PISTON COMPRESSOR APPLICANT(S) FOR DO/EO/US Norbert ROLFF Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information: 1. This is a FIRST submission of items concerning a submission under 35 U.S.C. 371. This is a SECOND or SUBSEQUENT submission of items concerning a submission under 35 U.S.C. 371. This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below. The US has been elected (Article 31). A copy of the International Application as filed (35 U.S.C. 371(c)(2)) is attached hereto (required only if not communicated by the International Bureau). has been communicated by the International Bureau. is not required, as the application was filed in the United States Receiving Office (RO/US). 6. 🗹 An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)). is attached hereto. has been previously submitted under 35 U.S.C. 154(d)(4). Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3)) are attached hereto (required only if not communicated by the International Bureau). have been communicated by the International Bureau. have not been made; however, the time limit for making such amendments has NOT expired. have not been made and will not be made. An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). An English language translation of the annexes of the International Preliminary Examination Report under PCT 10. 🔽 Article 36 (35 U.S.C. 371(c)(5)). Items 11 to 20 below concern document(s) or information included: 11 An Information Disclosure Statement under 37 CFR 1.97 and 1.98, PTO Form 1449 and References (if required). 12. An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included. 13. 🗹 A preliminary amendment.

This collection of information is required by 37 CFR 1.414 and 1.491-1.492. The information is required to obtain or retain a benefit by the public, which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 15 minutes to complete, including gathering information, preparing, and submitting the completed form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821- 1.825.

A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).

A second copy of the published International Application under 35 U.S.C. 154(d)(4).

An Application Data Sheet under 37 CFR 1.76.

A power of attorney and/or change of address letter.

A substitute specification.

Other items or information:

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U.S. APPLICATIO	U.S. APPLICATION NO. (if known, see 37 CFR 1.5)  INTERNATIONAL APPLICATION N  PCT/EP03/13916			ATTORNEY DOCKET NO.  LYBZ 2 00093			
The following fees have been submitted			CALCULATIONS	PTO USE ONLY			
21. Basic national fee			\$300	\$ 300.00	, , , ,		
22. Examin If International prel PCT Article 33(1 All other situations	)-(4)	\$ 200.00					
23. Search fee Search fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority					\$ 400.00		
TO	TAL OF 21, 2	2 and 23 =			\$ 900.00	1 1	
Additional fee f sequence listing	or specification ig or computer 0 for each addi						
Total Sheets	Extra Sheets		additional 50 or fraction up to a whole number)	RATE			
- 100 =	/50 =			× \$250	\$		
Surcharge of \$130. claimed priority date		\$					
CLAIMS	NUME	BER FILED	NUMBER EXTRA	RATE	\$		
Total claims	18	- 20 =		× \$ 50	\$		
Independent claims	2	- 3 =		x \$200	\$		
MULTIPLE DEPEN	DENT CLAIM(S	S) (if applicable)		+ \$360	\$		
		<del></del>	TOTAL OF ABOVE	CALCULATIONS =	\$ 900.00		
Applicant claims small entity status. See 37 CFR 1.27. Fees above are reduced by ½.							
		\$ 900.00					
Processing fee of \$ claimed priority date		\$					
	_	\$ 900.00					
Fee for recording the by an appropriate c		\$ 40.00					
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					Amount to be refunded:	S	
		,			Amount to be charged:	\$	
a. A check in the amount of \$ to cover the above fees is enclosed.							
b. Please charge my Deposit Account No in the amount of \$ to cover the above fees.  A duplicate copy of this sheet is enclosed.							
c. The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 06-0308. A duplicate copy of this sheet is enclosed.							
d. Fees are to be charged to a credit card. WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.							
NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137(a) or (b)) rulest be filed and granted to restore the International Application to pending status.							
SEND ALL CORRE	Sona a						
Thomas E. KO	OVSKY. Jr.	/10000C	1				
FAY, SHARPE,	•	ocovsky, Jr.	-				
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Cleveland, OH 44114-2518  28,383							
(046) 964 FE99 (4-1), (046) 944 4666 (F-1)					ON NUMBER		

## 10/537639 JC09 Rec'd PCT/PTO 06 JUN 2009

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of	) Examiner:
N. ROLFF	)
	) Art Unit:
Serial No.: Unknown	)
	) Confirmation:
Filed: Herewith	) ·
	) Customer No.: 27,885
For: PISTON COMPRESSOR	)
	)
Attorney Docket No.:	) Cleveland, OH 44114
LYBZ 2 00093	) June 6, 2005

## **CERTIFICATE OF EXPRESS MAILING UNDER 37 CFR 1.10**

Mail Stop: PCT

Commissioner For Patents

P.O. Box 1450

Alexandria, VA 22313-1450

Dear Sir:

"Express Mail" Mailing Label Number: <u>EV 494960019 US</u>
Date of Deposit: <u>EV 494960019 US</u>
JUNE 6, 2005

I hereby certify that the attached TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A SUBMISSION UNDER 35 U.S.C. 371 and accompanying documents are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: MAIL STOP PCT, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ghlary M. McNULTY
Hilary M. McNULTY

## JC09 Rec'd PCT/PTO 3 6 JUN 2005

### IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

) Examiner:
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) Art Unit:
) ·
) Confirmation:
) ·
) Customer No.: 27,885
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)
) Cleveland, OH 44114
) June 6, 2005

#### SUBMISSION OF VERIFIED TRANSLATION

Commissioner For Patents P.O. Box 1450 Alexandria, VA 22313-1450

Dear Sir:

The applicant is filing herewith a Verified Translation of the priority German-language Patent PCT Application No. PCT/EP03/13916.

The verified English translation of the application comprises:

- 1 page of Declaration of Translator
- 15 pages of Specification
- 4 pages of Claims
- 1 page of Abstract

#### Certificate of EXPRESS MAIL

"Express Mail" Mailing Label Number <u>EV494960019US</u>
Date of Deposit: <u>JUNE 6, 2005</u>
I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Hilary M. McNULTY

Early notice that the filing requirements have been met and an early allowance of this application are respectfully requested.

Respectfully submitted,

FAY, SHARPE, FAGAN, MINNICH & McKEE, LLP

Thomas E. Kocovsky, J

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